

U.S. APPROPRIATED FUNDS

This appendix provides a brief description and accounting of the largest accounts appropriated for Iraq relief and reconstruction under these laws:

- P.L. 108-7, February 20, 2003
- P.L. 108-11, April 16, 2003
- P.L. 108-106, November 6, 2003
- P.L. 108-287, August 5, 2004
- P.L. 109-13, May 11, 2005
- P.L. 109-102, November 14, 2005
- P.L. 109-148, December 30, 2005
- P.L. 109-234, June 15, 2006
- P.L. 109-289, September 29, 2006
- P.L. 110-28, May 25, 2007
- P.L. 110-92, P.L. 110-116, P.L. 110-137, P.L. 110-149, December 21, 2007
- P.L. 110-161, December 26, 2007
- P.L. 110-252, June 30, 2008

The first section of this appendix provides brief history and a summary of each fund, and the second section provides the status of funding.

SUMMARIES OF U.S. APPROPRIATED FUNDS

IRAQ RELIEF AND RECONSTRUCTION FUND 1 AND OTHER FUNDING UNDER P.L. 108-11

The first U.S. appropriation specifically for the relief and reconstruction of Iraq occurred in April 2003. The Congress passed P.L. 108-11, creating the Iraq Relief and Reconstruction Fund (IRRF 1). Through IRRF 1, \$2.475 billion was made available to the U.S. Agency for International Development (USAID), Department of

Defense (DoD), Department of State (DoS), the Department of Treasury (Treasury), and the U.S. Trade and Development Agency (USTDA).

Initially, IRRF 1 reconstruction managers were focused on the immediate humanitarian needs of post-conflict environments. IRRF 1 was intended to provide a rapid, short-term infusion of funds to help jumpstart the recovery of Iraq in the post-conflict period. The focus was largely on providing humanitarian assistance and addressing the rehabilitation and reconstruction of Iraq.¹ To address this focus, most funding was apportioned to USAID (71.2%), followed by DoD (22.8%), DoS (5.5%), Treasury (0.3%), and USTDA (0.2%).

IRRF 1 funds expired in September 2004; however, an account balance remains available to make legitimate obligation adjustments, to document previously unrecorded obligations, and to make upward adjustments in previously under-recorded obligations.

USAID's IRRF 1 programs, funded by \$1.617 billion, are now complete. The major program areas are an extension of the overall goals of the fund:

- Restore Economically Critical Infrastructure
- Improve Efficiency and Accountability of Government
- Support Education, Health, and Social Services
- Relief: Office of Foreign Disaster Assistance
- Office of Transition Initiatives (OTI), Expand Economic Opportunity

DoD is the second largest implementer of IRRF 1 funds, apportioned \$518.28 million. Nearly all of these funds have been obligated, and

\$517.94 million has been expended.² The agency administered programs to aid in the restoration of critical infrastructure, including:

- Restore Iraq Electricity (RIE)
- Restore Iraq Oil (RIO)
- First Responder Network/DIILS

GRD reported that many of the projects started under the IRRF 1 were subsumed by IRRF 2 programs. Therefore, outputs and outcomes from the IRRF 1 are covered by the stated outputs and outcomes of IRRF 2 programs.³

DoS was apportioned just more than \$125 million of the IRRF 1, and all of these monies have been obligated. As of March 31, 2008, just more than \$116 million had been expended.⁴ IRRF 1 expenditures by DoS were largely for rule-of-law efforts, including:⁵

- Police/Prison Programs: Reconstruction and Modernization of Detention Facilities
- Relief Efforts: Migration and Refugee Assistance
- Law Enforcement: Bureau of International Security and Nonproliferation and Bureau of International Narcotics and Law Enforcement (INL)
- Humanitarian Demining: minefield and battle-area clearance operations

All of the Treasury's \$6 million apportionment has been obligated for technical assistance. As of March 31, 2008, more than \$5 million had been expended.⁶ The USTDA was apportioned \$4.9 million via the IRRF 1. As of March 31, 2008, it had obligated all of its IRRF 1 funding and expended \$4.7 million to provide economic-related assistance and capacity development for Iraqi officials.

Other Funds under P.L. 108-11

P.L. 108-11 also created the Natural Resources Risk Remediation Fund (NRRRF) and made \$489 million available “for expenses necessary, in and around Iraq, to address emergency fire fighting, repair of damage to oil facilities and related infrastructure.”

Funds for the daily operations of the Coalition Provisional Authority (CPA) were made available as well. In November 2003, P.L. 108-106 made more substantial funding available for CPA, and although it ceased operations on June 28, 2004, contractual payment of obligations resulting from contracts awarded during its tenure are still being fulfilled. Most of the funds (55%) allocated in P.L. 108-11 were used for the rehabilitation of Iraqi infrastructure—primarily oil production and electricity generation. Other major uses include humanitarian relief, governance initiatives, and health and social services.

IRAQ RELIEF AND RECONSTRUCTION FUND 2 UNDER P.L. 108-106

Under P.L. 108-106, \$18.439 billion in supplemental funds were made available to further support U.S. agencies managing the reconstruction effort. After assessing the state of Iraq's infrastructure, the United States set additional priorities for IRRF 2 projects in core areas to address years of critical neglect, entailing large projects in the oil, electricity, and water sectors. The act mandates specific funding totals by sector, with limitations on the transfer of funds among sectors and guidelines for congressional approval of larger modifications. For the current IRRF 2 allocations, by sector, see Figure 2-1

Apportioned funds are restricted to six departments or agencies: DoD, DoS, USAID, Treasury,

the U.S. Institute of Peace (USIP), and Department of Health and Human Services (HHS). DoD oversees the largest percentage of IRRF 2—more than \$13 billion of the total.⁷ For IRRF 2 apportionments, by agency, see Figure 2-2.

COMMANDERS' ASSISTANCE PROGRAMS

Military assistance programs continue to play a vital role in the reconstruction of Iraq. In an insecure environment, area commanders can develop the necessary relationships with Iraqi civic leaders to initiate crucial local projects.

Commander's Emergency Response Program

Since May 2003, the Commander's Emergency Response Program (CERP) has been used to

produce targeted local relief and reconstruction efforts throughout Iraq. Initially, CPA created the fund with seized Iraqi assets and oil revenue from the Development Fund for Iraq (DFI). By late 2003, the Congress began to appropriate U.S. funds to these projects—the most recent, in June 2008.

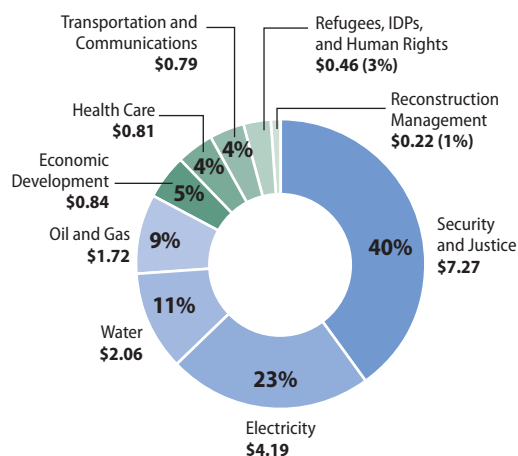
Unlike the large-scale infrastructure work funded by the IRRF 2, CERP projects were intended to be smaller and more responsive to the immediate priorities of maneuver commanders.⁸ The Multi-National Corps-Iraq (MNC-I), which coordinates use of the CERP, issues guidance for the selection and use of the funds in its publication *Money as a Weapons System* (MAAWS). Included in MAAWS, MNC-I publishes the CERP Standard Operating Procedures (SOP) for proposing projects, awarding contracts,

Figure 2-1

IRRF 2 CURRENT ALLOCATIONS, BY SECTOR

\$ Billions, % of \$18.35 Billion Allocated

Source: DoS, *Iraq Weekly Status Report* (7/2/2008)



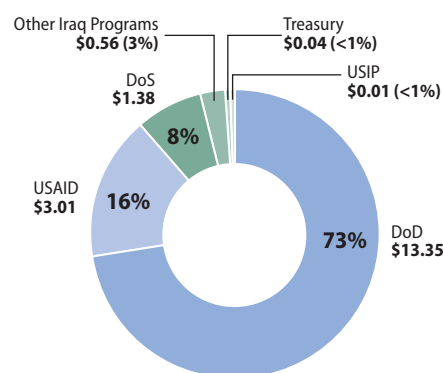
Note: Numbers are affected by rounding.

Figure 2-2

IRRF 2 OMB APPORTIONED FUNDS

\$ Billions, % of \$18.35 Billion Apportioned

Source: OMB, Response to SIGIR Data Call (6/25/2008)



Note: Numbers are affected by rounding. Approximately \$0.097 billion remains unapportioned.

and managing activities.⁹ The SOP indicates that key elements for project selection are speed of execution, ability to employ Iraqis, benefit to the Iraqi people, and visibility to the community.¹⁰ Overall funding trends are consistent with these priorities; however, the use of CERP funds has evolved both numerically and categorically.

The CERP was initially intended primarily for small-scale projects that would benefit the local population.¹¹ These projects often supplement the long-term benefits produced by large infrastructure projects and reinforce economic efforts on a local level.¹²

In addition, the GOI funded a similar CERP-equivalent program, which is not subject to U.S. oversight. For appropriations to the CERP, see Table F-1.

Commanders Humanitarian Relief and Reconstruction Program and Iraqi Interim Government Fund

In 2004, Iraq's governing body established the Iraqi Interim Government Fund (IIGF), with \$136 million from the DFI, to support U.S. military commanders in responding to the urgent humanitarian relief and reconstruction requirements of the local population in their areas of responsibility. In response to an Iraqi demand to match the IIGF, a separate program—the Commanders Humanitarian Relief and Reconstruction Program (CHRRP)—was created with \$86 million of the IRRF 2.

The Multi-National Force-Iraq (MNF-I) maintains IIGF and CHRRP funding. Iraqi government projects must be selected from a project list approved by the Iraqis and MNF-I.

ECONOMIC SUPPORT FUND (ESF)

Since 2003, the U.S. Congress has appropriated nearly \$3.74 billion to the Economic Support Fund (ESF), of which 41% has been expended. The ESF is a bilateral economic assistance account for use by U.S. allies and countries in democratic transition to promote U.S. foreign policy objectives.¹³ “Funding to the ESF was intended to continue programs to sustain U.S.-funded infrastructure and to support democracy, governance, civil society, economic policy reform, private sector, and agriculture programs.”¹⁴

Several ESF programs are continuing work that was started with funding from the IRRF. They address work to stabilize communities, provide support to the victims of violence, and fund critical sustainment work to protect the U.S. investment allocated to infrastructure construction.

U.S. APPROPRIATIONS TO THE CERP (\$ MILLIONS)

APPROPRIATION	DATE	AMOUNT
P.L. 108-287	8/5/2004	\$140
P.L. 109-13	5/11/2005	\$718
P.L. 109-148	12/30/2005	\$408
P.L. 109-234	6/15/2006	\$300
P.L. 109-289	9/29/2006	\$375
P.L. 110-28	5/25/2007	\$375
P.L. 110-161	12/26/2007	\$370
P.L. 110-252 ^a	6/30/2008	\$800
Total		\$3,486

^a This total includes an estimate of \$800M appropriated to the CERP under the Supplemental Act, 2008 (P.L. 110-252) that was provided by OSD/Policy in a response to SIGIR on July 16, 2008.

Note: Numbers are affected by rounding.

TABLE F-1

DoS is responsible for managing project identification, priorities, requirements, and funding for ESF projects. Under policy guidance from DoS, USAID and the U.S. Army Corps of Engineers, Gulf Region Division (GRD) implement most ESF programs. As the primary executing agencies, GRD and USAID received approximately 89% of ESF allocations.

ESF program funding is aligned with security, economic, and political objectives:

- The **security track** receives the largest allocation of funds, about 64%, to strengthen the coordination between the GOI and local groups and assist in securing critical infrastructure to improve the GOI's ability to deliver essential services.
- The **economic track** receives 17% of ESF funding, promoting development through sustainment of assets, training programs, increased access to finance, and other economic and capacity-building activities.
- The **political track** receives 19% of total funding, assisting the GOI in strengthening core ministry functions and supporting governance-building initiatives.

For a listing of appropriations to the ESF, see Table F-2.

IRAQ SECURITY FORCES FUND (ISFF)

On May 11, 2005, the Congress authorized creation of the Iraq Security Forces Fund (ISFF) in P.L. 109-13. This fund allows the Commander, Multi-National Security Transition Command-Iraq (MNSTC-I) to provide assistance to the Iraqi Security Forces (ISF). Administered by DoD, the

ISFF is now the second-largest U.S. reconstruction fund created for Iraq.

ISFF projects support the ISF—through the Ministry of Defense (MOD) and Ministry of Interior (MOI)—with equipment, supplies, services, and training, as well as repair, renovation, and construction of facilities and infrastructure.¹⁵ Approximately 96% of ISFF obligations fund four primary sub-activities:¹⁶

- Equipment and Transportation
- Training and Operations
- Infrastructure
- Sustainment

A small portion of ISFF funding is also divided among these programs:¹⁷

- Quick Response Fund¹⁸
- Detainee Operations
- Lift and Sustain¹⁹
- Disarmament, Demobilization, and Reintegration
- Prosthetics

U.S. APPROPRIATIONS TO THE ESF (\$ MILLIONS)

APPROPRIATION	DATE	AMOUNT
P.L. 108-7	2/20/2003	\$40
P.L. 108-11	4/16/2003	\$10
P.L. 109-102	11/14/2005	\$60
P.L. 109-234	6/15/2006	\$1,485
P.L. 110-28	5/25/2007	\$1,478
P.L. 110-92, 110-116, 110-137, 110-149	12/21/2007	\$123
P.L. 110-161	12/26/2007	\$15
P.L. 110-252	6/30/2008	\$527
Total		\$3,738

Note: Numbers affected by rounding.

TABLE F-2

Nearly 36% of all U.S. reconstruction funding has been appropriated to the ISFF. For a listing of these appropriations, see Table F-3. SIGIR compiled data on the sources, uses, and status of Iraq reconstruction funds from the Defense Finance and Accounting Service, USACE, DoS, USAID, Treasury, DoD Washington Headquarters Service, MNC-I, USTDA, ITAO, OPIC, USIP, DoJ, and Corps of Engineers Financial Management System. SIGIR analyzed the data for reasonableness and consistency across sources of data to the extent practical but did not independently validate the data. SIGIR did not review or audit the processes, controls, or systems in place at the providing agency or organization. SIGIR accepted the data provided and believes

that the presentation of sources and uses of funds in this Report is a reasonable compilation of the status of Iraq relief and reconstruction funding through June 30, 2008 (unless an alternative date is noted).

U.S. APPROPRIATIONS TO THE ISFF (\$ MILLIONS)

APPROPRIATION	DATE	AMOUNT
P.L. 109-13	5/11/2005	\$5,391
P.L. 109-234	6/15/2006	\$3,007
P.L. 109-289, P.L. 110-28	9/26/2006, 5/25/2007	\$5,542
P.L. 110-161	12/26/2007	\$1,500
P.L. 110-252	6/30/2008	\$2,500
Total		\$17,940

Note: Numbers affected by rounding.

TABLE F-3

Endnotes

- 1 P.L. 108-11, "Emergency Wartime Supplemental Appropriations Act, 2003," April 16, 2003.
- 2 USAID, response to SIGIR data call, April 8, 2008; GRD, response to SIGIR data call, April 2, 2008; Treasury, response to SIGIR data call, April 3, 2008; USTDA, response to SIGIR data call, April 3, 2008; DoS response to SIGIR data call, April 5, 2007; WHS, response to SIGIR data call, April 1, 2008; DFAS response to SIGIR data call, April 10, 2008.
- 3 GRD, response to SIGIR data call, March 20, 2008.
- 4 USAID, response to SIGIR data call, April 8, 2008; GRD, response to SIGIR data call, April 2, 2008; Treasury, response to SIGIR data call, April 3, 2008; USTDA, response to SIGIR data call, April 3, 2008; DoS response to SIGIR data call, April 5, 2007; WHS, response to SIGIR data call, April 1, 2008; DFAS response to SIGIR data call, April 10, 2008.
- 5 DoS, Section 2207 Report, January 2004; DoS, Section 2207 Report, January 2008.
- 6 USAID, response to SIGIR data call, April 8, 2008; GRD, response to SIGIR data call, April 2, 2008; Treasury, response to SIGIR data call, April 3, 2008; USTDA, response to SIGIR data call, April 3, 2008; DoS response to SIGIR data call, April 5, 2007; WHS, response to SIGIR data call, April 1, 2008; DFAS response to SIGIR data call, April 10, 2008.
- 7 P.L. 108-106, "Emergency Supplemental Appropriations Act for Defense and for the Reconstruction of Iraq and Afghanistan," November 6, 2003.
- 8 MNC-I, Money as a Weapon System, June 2007, p. 9.
- 9 MNC-I, Money as a Weapon System, June 2007, Appendix C.
- 10 MNC-I, Money as a Weapon System, June 2007, p. C4.
- 11 SIGIR Audit 08-006, "Commander's Emergency Response Program in Iraq Funds Many Large-Scale Projects," January 25, 2008.
- 12 MNC-I, Money as a Weapon System, June 2007, Appendix C.
- 13 <http://www.usaid.gov/policy/budget/cbj2007/an/esf.html>
- 14 GAO, Iraq: Reconstruction Assistance, Updated November 12, 2007.
- 15 U.S. Congress, "Emergency Supplemental Appropriations Act for Defense, the Global War on Terror, and Tsunami Relief (P.L. 109-13)," May 11, 2005.
- 16 SIGIR is using MNSTC-I's classification of these topics as sub-activity groups.
- 17 MNSTC-I, response to SIGIR data call, April 12, 2008. Note: ISFF has also been used to fund the quick response fund and disarmament, demobilization, and reintegration. However, the most recent data sent by MNSTC-I does not include these funds.
- 18 The ESF program also has a program called the Quick Response Fund. This program differs from the ISFF's Quick Response Fund, which primarily supports projects for the ISF.
- 19 Lift and Sustain refers to ISFF funding that goes toward supporting Iraq's counterinsurgency efforts, including food, fuel, and other logistical support services.

SUMMARY OF TABLES

TABLE REFERENCE	RELATED FUND	DESCRIPTION
E-4	NRRRF, IRRF 1, Non-IRRF, and IFF	Status of Supplemental Appropriation, P.L. 108-11
E-5	IRRF 1	Status of P.L. 108-11 IRRF 1 Funds by Program and by USAID Strategic Objectives
E-6	Non-IRRF 1	Status of P.L. 108-11 Non-IRRF 1 Funds by Program and by USAID Strategic Objectives
E-7	CERP	CERP Projects by Type for FY 2004 and FY 2005
E-8	CERP	CERP Projects by Type for FY 2006 and FY 2007
E-9	CERP	CERP Projects by Type for FY 2008
E-10	CHRRP and IIGF	CHRRP and IIGF Funding
E-11	IRRF 2	Funding Status of the IRRF 2
E-12	ISFF	Financial Status of Iraq Security Forces Fund (FY 2005)
E-13	ISFF	Financial Status of Iraq Security Forces Fund (FY 2006)
E-14	ISFF	Financial Status of Iraq Security Forces Fund (FY 2007)
E-15	ISFF	Financial Status of Iraq Security Forces Fund (FY 2008)
E-16	ESF	Financial Status of Economic Support Fund (FY 2006)
E-17	ESF	Financial Status of Economic Support Fund (FY 2007)
E-18	ESF	Financial Status of Economic Support Fund (FY 2008)

STATUS OF SUPPLEMENTAL APPROPRIATION, P.L. 108-11, AS OF 6/30/2008 (\$ MILLIONS)

SOURCE	AGENCY	APPORTIONED	OBLIGATED	EXPENDED
NRRRF	DoD	\$800.65	\$800.65	\$797.80
IRRF 1	USAID	1,617.03	1,613.28	1,603.47
	DoD	518.28	518.26	517.94
	DoS	125.35	125.35	116.74
	Treasury	6.00	6.00	5.01
	USTDA	4.94	4.94	4.72
	Subtotal	2,271.60	2,267.83	2,247.88
Non-IRRF P.L. 108-11	USAID	482.16	463.40	461.14
	DoS	66.00	66.00	60.40
	Subtotal	548.16	529.40	521.54
IFF	CPA-OPS	N/A	440.82	409.16
	New Iraqi Army	51.20	51.20	49.80
	Subtotal	51.20	492.02	458.96
Total		\$3,671.61	\$4,089.90	\$4,026.18

Sources: USAID, response to SIGIR data call, July 10, 2008; GRD, response to SIGIR data call, July 2, 2008; Treasury, response to SIGIR data call, July 3, 2008; USTDA, response to SIGIR data call, July 1, 2008; DoS, response to SIGIR data call, April 5, 2007; WHS, response to SIGIR data call, June 30, 2008; DFAS, response to SIGIR data call, July 7, 2008. Notes: 1. DoS data is as of March 31, 2008. Updated information was not received from DoS at the time of publication. 2. Under P.L. 108-11, the Congress funded NRRRF through transfers, including up to \$489.3 million from the Iraq Freedom Fund. 3. Numbers are affected by rounding. 4. Data not formally reviewed or audited.

TABLE F-4

**STATUS OF P.L. 108-11 IRRF 1 FUNDS BY PROGRAM AND BY USAID STRATEGIC OBJECTIVES,
AS OF 6/30/2008 (\$ MILLIONS)**

AGENCY	PROGRAM NAME	APPORTIONED	OBLIGATED	EXPENDED
USAID	Restore Economically Critical Infrastructure	\$1,124.35	\$1,121.16	\$1,111.66
	Improve Efficiency and Accountability of Government	174.71	174.71	174.71
	Support Education, Health, and Social Services	118.48	118.16	118.16
	Relief: Office of Foreign Disaster Assistance	43.47	43.32	43.11
	Office of Transition Initiatives	57.56	57.50	57.42
	Expand Economic Opportunity	65.90	65.90	65.90
	Program Support and Development of Gulf Region	18.00	18.00	18.00
	Administrative Expenses	14.56	14.53	14.51
	Subtotal	1,617.03	1,613.28	1,603.47
DoD	Restore Iraq Electricity (RIE)	299.94	299.92	299.92
	Restore Iraq Oil (RIO)	165.04	165.04	165.04
	First Responder Network/DIILS	53.30	53.30	52.98
	Subtotal	518.28	518.26	517.94
DoS	Police/Prison Programs	61.50	61.50	56.21
	Relief Efforts	26.98	26.98	26.90
	Law Enforcement	24.60	24.60	21.36
	Humanitarian Demining	12.27	12.27	12.27
	Subtotal	125.35	125.35	116.74
Treasury	Technical Assistance	6.00	6.00	5.01
	Subtotal	6.00	6.00	5.01
U.S. Trade and Dev. Agency	Technical Assistance/Training	4.94	4.94	4.72
	Subtotal	4.94	4.94	4.72
Total IRRF 1 Funds		\$2,271.60	\$2,267.83	\$2,247.88

Source: USAID, response to SIGIR data call, July 10, 2008; Treasury, response to SIGIR data call, July 3, 2008; USTDA, response to SIGIR data call, July 1, 2008; DoS, response to SIGIR data call, April 5, 2007; DFAS, response to SIGIR data call, July 7, 2008.

Notes: 1. DoS data is as of March 31, 2008. Updated information was not received from DoS at the time of publication. 2. Data not formally reviewed or audited. 3. Numbers are affected by rounding.

TABLE F-5

**STATUS OF P.L. 108-11 NON-IRRF 1 FUNDS BY PROGRAM AND BY USAID STRATEGIC OBJECTIVES,
AS OF 6/30/2008 (\$ MILLIONS)**

AGENCY	PROGRAM NAME	APPORTIONED	OBLIGATED	EXPENDED
USAID	Food Aid: Office of Food for Peace	\$242.88	\$242.84	\$242.62
	Improve Capacity of National Government	21.19	21.19	21.19
	Restore Economically Critical Infrastructure	42.78	24.86	24.49
	Support Education, Health, and Social Services	34.00	33.78	33.78
	Relief: Office of Foreign Disaster Assistance	72.14	71.84	70.59
	Operating Expenses	23.32	23.32	23.32
	Program Support and Development of Gulf Region	11.18	10.91	10.80
	Improve Efficiency and Accountability of Government	8.90	8.89	8.77
	Office of Transition Initiatives	11.82	11.82	11.63
	Expand Economic Opportunity	4.96	4.96	4.96
	Expand Private Sector Economic Opportunities	8.99	8.99	8.99
	Subtotal	482.16	463.40	461.14
DoS	Coalition Support	66.00	66.00	60.40
	Subtotal	66.00	66.00	60.40
Total Non-IRRF 1 Funds		\$548.16	\$529.40	\$521.54

Source: USAID, response to SIGIR data call, July 10, 2008; DoS, response to SIGIR data call, April 5, 2007. Notes:1. DoS data is as of March 31, 2008. Updated information was not received from DoS at the time of publication. 2. Under P.L. 108-11, the Congress funded NRRRF through transfers, including up to \$489.3 million from the Iraq Freedom Fund. 3. Data not formally reviewed or audited. 4. Numbers are affected by rounding.

TABLE F-6

CERP PROJECTS BY TYPE FOR FY 2004 AND FY 2005, AS OF 3/31/2008 (\$ MILLIONS)

PROJECT TYPE	FY 2004		FY 2005	
	OBLIGATIONS	DISBURSEMENTS	OBLIGATIONS	DISBURSEMENTS
Agriculture	\$0.01	\$0.01	\$15.01	\$9.42
Battle Damage	0.92	0.92	7.89	7.55
Civic Cleanup Activities	0.05	0.05	15.43	15.24
Civic Infrastructure Repair	0.49	0.49	16.01	15.65
Civic Support Vehicles	0.20	0.20	6.38	6.09
Condolence Payments	3.47	3.47	15.01	14.66
Economic, Financial, and Management Improvements	2.96	2.96	13.45	13.13
Education	5.58	5.56	68.89	64.70
Electricity	0.87	0.87	85.72	67.97
Food Production & Distribution	0.99	0.99	1.93	1.83
Healthcare	3.82	3.59	28.48	26.09
Irrigation	0.01	0.01	3.37	3.37
Law & Governance	2.03	2.03	36.01	33.90
Other Humanitarian and Reconstruction Projects	1.16	1.16	52.29	38.76
Protective Measures	0.00	0.00	13.22	13.22
Telecommunications	0.59	0.59	14.83	10.65
Transportation	1.95	1.95	83.98	74.46
Water & Sanitation	5.14	5.14	246.18	224.38
Total	\$30.24	\$29.99	\$724.08	\$641.07

Source: Multi-National Corps-Iraq, response to SIGIR data call, April 9, 2008.

Notes: 1. Data not formally reviewed or audited. 2. Numbers are affected by rounding. 3. SIGIR did not receive CERP funding data at a project level this quarter.

TABLE F-7

APPENDIX F

CERP PROJECTS BY TYPE FOR FY 2006 AND FY 2007, AS OF 3/31/2008 (\$ MILLIONS)

PROJECT TYPE	FY 2006		FY 2007	
	OBLIGATIONS	DISBURSEMENTS	OBLIGATIONS	DISBURSEMENTS
Agriculture	\$23.71	\$20.77	\$18.36	\$10.39
Battle Damage	1.51	1.39	10.71	5.67
Civic Cleanup Activities	29.56	24.38	75.51	60.99
Civic Infrastructure Repair	18.99	13.08	39.44	28.14
Civic Support Vehicles	1.71	1.71	9.07	8.48
Condolence Payments	8.03	7.91	13.34	10.73
Detainee Release Payments	0.08	0.08	0.46	0.35
Economic, Financial, and Management Improvements	15.89	12.73	26.37	15.01
Education	43.59	35.09	107.98	76.45
Electricity	75.07	59.54	125.85	81.67
Food Production & Distribution	1.28	1.06	4.52	3.55
Healthcare	25.91	17.15	23.93	19.98
Irrigation	15.37	6.36	19.68	10.76
Law & Governance	9.76	9.27	38.30	28.52
Other Humanitarian and Reconstruction Projects	28.19	16.41	26.79	21.57
Protective Measures	1.01	1.01	72.98	20.70
Telecommunications	7.39	7.25	3.90	2.45
Transportation	67.34	57.34	108.55	74.93
Water & Sanitation	121.18	110.21	165.59	125.26
Total	\$495.57	\$402.74	\$891.33	\$605.60

Source: Multi-National Corps-Iraq, response to SIGIR data call, April 9, 2008.

Notes: 1. Data not formally reviewed or audited. 2. Numbers are affected by rounding. 3. SIGIR did not receive CERP funding data at a project level this quarter.

TABLE F-8

CERP PROJECTS BY TYPE FOR FY 2008, AS OF 3/31/2008 (\$ MILLIONS)

PROJECT TYPE	FY 2008	
	OBLIGATIONS	DISBURSEMENTS
Agriculture	\$8.17	\$4.46
Battle Damage	4.59	.48
Civic Cleanup Activities	16.31	6.47
Civic Infrastructure Repair	13.23	3.50
Civic Support Vehicles	0.17	0.17
Condolence Payments	7.84	2.66
Detainee Release Payments	0.23	0.01
Economic, Financial, and Management Improvements	23.72	5.94
Education	31.97	10.44
Electricity	33.14	4.43
Food Production & Distribution	1.13	0.50
Healthcare	15.00	3.78
Irrigation	2.52	2.18
Law & Governance	16.33	4.12
Other Humanitarian and Reconstruction Projects	25.65	10.05
Protective Measures	142.67	42.17
Telecommunications	1.54	0.16
Transportation	43.80	11.00
Water & Sanitation	28.92	8.77
Total	\$416.93	\$121.29

Source: Multi-National Corps-Iraq, response to SIGIR data call, April 9, 2008.

Notes: 1. Data not formally reviewed or audited. 2. Numbers are affected by rounding. 3. SIGIR did not receive CERP funding data at a project level this quarter.

TABLE F-9

CHRRP AND IIGF FUNDING, AS OF 3/31/2008 (\$ MILLIONS)

FUND SOURCE	OBLIGATED	EXPENDED
CHRRP (FY 2005 and 2006)	\$76.11	\$75.77
IIGF	125.12	118.40
Total	\$201.23	\$194.17

Source: Multi-National Corps-Iraq, response to SIGIR data call, April 9, 2008.

Notes: 1. Data not formally reviewed or audited. 2. Numbers are affected by rounding. 3. SIGIR did not receive CHRRP or IIGF funding data at a project level this quarter.

TABLE F-10

FUNDING STATUS OF THE IRRF 2, AS OF 7/2/2008 (\$ MILLIONS)

SECTOR	ALLOCATED	COMMITTED	OBLIGATED	EXPENDED
Security and Law Enforcement	\$4,967	\$4,945	\$4,944	\$4,865
Electricity Sector	4,188	4,074	4,053	3,857
Oil Infrastructure	1,718	1,597	1,597	1,578
Justice and Civil Society	2,304	2,265	2,256	2,175
Education, Refugees, and Human Rights	460	431	431	407
Roads, Bridges, and Construction	324	313	313	254
Health Care	810	787	778	736
Transportation and Communications	462	458	458	416
Water and Sanitation	2,062	1,975	1,950	1,818
Private Sector Development	838	820	820	814
Administrative Expense	220	218	218	208
Total by Sector	\$18,352	\$17,885	\$17,818	\$17,127
Construction		9,399	9,350	8,876
Non-Construction		7,966	7,948	7,734
Overhead		520	520	517
Total by Program		\$17,885	\$17,818	\$17,127

Source: DoS, Iraq Weekly Status Report, July 2, 2008, p. 19.

Notes: 1. Appendix D of this Report includes a crosswalk between the DoS Iraq Weekly Status Report and SIGIR sectors. 2. Numbers are affected by rounding. 3. Data not formally reviewed or audited. 4. SIGIR did not receive CERP funding data at a project level this quarter.

Table F-11

FINANCIAL STATUS OF IRAQ SECURITY FORCES FUND (FY 2005), AS OF 7/9/2008 (\$ MILLIONS)

SECTOR	ALLOCATED	OBLIGATED	EXPENDED
Defense Forces			
Sustainment	\$ 632.46	\$ 627.82	\$ 615.89
Infrastructure	1,100.44	1,065.40	1,055.57
Equipment and Transportation	1,376.00	1,370.49	1,344.44
Training and Operations	131.10	130.90	128.45
Interior Forces			
Sustainment	248.00	242.40	236.16
Infrastructure	426.80	405.40	394.98
Equipment and Transportation	386.90	379.82	367.97
Training and Operations	944.30	937.22	933.16
Other			
Quick Response Fund	145.00	143.46	134.72
Total	\$5,391.00	\$5,302.91	\$5,211.34

Source: OSD, response to SIGIR data call, July 9, 2008.

Notes: 1. Data not formally reviewed or audited. 2. Numbers are affected by rounding.

TABLE F-12

FINANCIAL STATUS OF IRAQ SECURITY FORCES FUND (FY 2006), AS OF 7/9/2008 (\$ MILLIONS)

SECTOR	ALLOCATED	OBLIGATED	EXPENDED
Defense Forces			
Sustainment	\$ 177.79	\$ 177.79	\$ 176.31
Infrastructure	777.58	686.27	588.67
Equipment and Transportation	599.67	598.15	512.09
Training and Operations	17.43	17.18	16.93
Interior Forces			
Sustainment	149.51	149.24	141.02
Infrastructure	475.51	469.80	339.49
Equipment and Transportation	495.98	496.03	359.80
Training and Operations	208.12	207.84	205.53
Other			
Quick Response Fund	30.00	29.07	26.75
Detainee Operations	72.21	72.18	60.40
Prosthetics Clinic	3.20	3.20	2.57
Total	\$3,007.00	\$2,906.75	\$2,429.56

Source: OSD, response to SIGIR data call, July 9, 2008.

Notes: 1. Data not formally reviewed or audited. 2. Numbers are affected by rounding.

TABLE F-13

FINANCIAL STATUS OF IRAQ SECURITY FORCES FUND (FY 2007), AS OF 7/9/2008 (\$ MILLIONS)

SECTOR	ALLOCATED	OBLIGATED	EXPENDED
Defense Forces			
Sustainment	\$ 889.00	\$ 815.07	\$ 475.45
Infrastructure	1,080.00	802.01	280.74
Equipment and Transportation	1,511.00	1,125.36	695.66
Training and Operations	78.30	50.21	42.00
Interior Forces			
Sustainment	127.00	86.92	51.32
Infrastructure	430.00	269.31	31.74
Equipment and Transportation	464.00	252.46	31.79
Training and Operations	552.50	519.50	509.55
Other			
Quick Response Fund	140.00	99.01	81.92
Other Support	73.00	59.41	56.17
Detainee Operations	38.00	35.78	23.24
Prosthetics Clinic	4.00	3.31	2.72
Disarmament, Demobilization, and Reintegration	155.50	27.57	2.17
Total	\$5,542.30	\$4,145.92	\$2,284.47

Source: OSD, response to SIGIR data call, July 9, 2008.

Notes: 1. Data not formally reviewed or audited. 2. Numbers are affected by rounding.

TABLE F-14

FINANCIAL STATUS OF IRAQ SECURITY FORCES FUND (FY 2008), AS OF 7/9/2008 (\$ MILLIONS)

SECTOR	ALLOCATED	OBLIGATED	EXPENDED
Defense Forces			
Infrastructure	\$ 298.50	\$ 56.09	-
Equipment and Transportation	535.00	1.71	-
Training and Operations	49.70	-	-
Interior Forces			
Sustainment	20.00	7.27	-
Infrastructure	110.00	-	-
Equipment and Transportation	20.00	-	-
Training and Operations	200.00	180.00	-
Other			
Quick Response Fund	35.00	3.87	-
Detainment Centers Iraqi Corrections Officers	55.40	1.11	-
Theater Internment Facilities Reintegration Center	96.40	-	-
Rule of Law Complexes	80.00	7.09	-
Total	\$1,500.00	\$257.14	-

Source: OSD, response to SIGIR data call, July 9, 2008.

Notes: 1. Data not formally reviewed or audited. 2. Numbers are affected by rounding.

TABLE F-15

FINANCIAL STATUS OF ECONOMIC SUPPORT FUND (FY 2006), AS OF 6/30/2008 (\$ MILLIONS)

TRACK	FY 2006 STATE			FY 2006 SUPPLEMENTAL		
	ALLOCATED	OBLIGATED	EXPENDED	ALLOCATED	OBLIGATED	EXPENDED
Security Track						
PRT/PRDC Projects				\$315.00	\$237.49	\$121.13
Infrastructure Security Protection (Oil, Water, and Electric)				217.00	176.55	99.54
Local Governance Program				155.00	155.00	125.44
Community Action Program				45.00	45.00	45.00
Community Stabilization Program				135.00	135.00	135.00
Marla Ruzicka Iraqi War Victims Fund (transferred to IRRF)	\$5.00	\$5.00	\$5.00	5.00	5.00	5.00
Subtotal	5.00	5.00	5.00	872.00	754.04	531.11
Economic Track						
Operations and Maintenance Sustainment				285.00	274.28	223.82
Plant-Level Capacity Development and Technical Training				51.97	36.34	29.78
Subtotal				336.97	310.62	253.60
Political Track						
Democracy and Civil Society	55.40	25.00	20.82			
National Capacity Development (USAID)				60.00	60.00	60.00
Ministerial Capacity Development (ITAO)				45.00	37.31	20.75
Refugee Assistance				50.00	50.00	27.40
Regime Crimes Liaison Office				33.00	33.00	22.80
Democracy Funding for IRI, NDI, NED				25.00	25.00	22.92
Policy, Subsidy, Legal, and Regulatory Reforms				20.00	20.00	20.00
Civil Society, ADF, IFES				18.00	18.00	14.34
Civil Society, IREX				3.00	3.00	2.54
USIP				4.00	4.00	4.00
Subtotal	55.40	25.00	20.82	258.00	250.31	194.75
Grand Total	\$60.40	\$30.00	\$25.82	\$1,466.97	\$1,314.97	\$979.46

Sources: ITAO, Response to SIGIR data call (1/4/2008); DoS, Section 2207 Report, October 2007; GRD, Response to SIGIR Data Call (7/2/2008); USAID, Responses to SIGIR Data Call (6/29/2008 and 7/17/2008); ITAO, Essential Indicators Report (7/10/2008); ITAO Response to SIGIR Data Call (6/29/08)

Notes: 1. Data not formally reviewed or audited. 2. Figures may not total correctly due to rounding.

TABLE F-16

FINANCIAL STATUS OF ECONOMIC SUPPORT FUND (FY 2007), AS OF 6/30/2008 (\$ MILLIONS)

TRACK	FY 2007 SUPPLEMENTAL			FY 2007 CR		
	ALLOCATED	OBLIGATED	EXPENDED	ALLOCATED	OBLIGATED	EXPENDED
Security Track						
PRT/PRDC Projects	\$385.00	\$103.56	\$15.73			
PRT/QRF (DoS)	32.00	20.91	13.83			
PRT/QRF (USAID)	100.00	100.00	11.84			
Local Governance Program	96.50	96.50	41.87			
Community Action Program	90.00	90.00	46.73			
Community Stabilization Program	354.00	354.00	181.32	\$25.00	\$25.00	\$25.00
Marla Ruzicka Iraqi War Victims Fund (transferred to IRRF)	5.00	5.00	5.00	5.00	5.00	5.00
Subtotal	1,062.50	769.97	316.32	30.00	30.00	30.00
Economic Track						
Inma	55.00	55.00	5.09	37.50	37.50	23.67
Provincial Economic Growth (PEG)	35.77	35.77	4.54			
Targeted Development Program	57.40	5.53	1.20			
Izdiyar	9.23	9.23	7.83	14.60	14.60	14.60
Subtotal	157.4	105.53	18.66	52.10	52.10	38.27
Political Track						
National Capacity Development	144.50	144.50	37.53			
Policy, Subsidy, Legal, and Regulatory Reforms	50.00	50.00	19.08	15.00	15.00	15.00
Civil Society Development	52.05	52.05	0.66	5.21	5.21	0.00
Subtotal	246.55	246.55	57.27	20.21	20.21	15.00
Grand Total	\$1,466.45	\$1,122.05	\$392.25	\$102.31	\$102.31	\$83.27

Sources: GRD, Response to SIGIR Data Call (7/2/2008); ITAO, Essential Indicators Report (7/10/2008); USAID, Responses to SIGIR Data Call (6/29/08 and 7/17/2008); ITAO, Response to SIGIR Data Call (6/29/08);

Notes: 1. Data not formally reviewed or audited. 2. Figures may not total correctly due to rounding.

TABLE F-17

FINANCIAL STATUS OF ECONOMIC SUPPORT FUND (FY 2008), AS OF 6/30/2008 (\$ MILLIONS)

TRACK	FY 2008		
	ALLOCATED	OBLIGATED	EXPENDED
Security Track			
Marla Ruzicka Iraqi War Victims Fund (transferred to IRRF)	\$5.00	\$0.00	\$0.00
Subtotal	5.00	0.00	0.00
Political Track			
Iraqi Scholars Program	10.00	0.00	0.00
Subtotal	10.00	0.00	0.00
Totals	\$15.00	\$0.00	\$0.00

Source: OMB, response to SIGIR data call, January 2, 2008

Note: 1. Data not formally reviewed or audited. 2. Figures may not total correctly due to rounding.

TABLE F-18